



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/075,121	02/13/2002	Thomas Bolt	Q02-1031-US1	7279

7590 01/27/2006
Robert A Saltzberg
MORRISON & FOERSTER LLP
425 Market Street
San Francisco, CA 94105

EXAMINER

PATEL, NIMESH G

ART UNIT PAPER NUMBER

2112

DATE MAILED: 01/27/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/075,121	Applicant(s) BOLT ET AL.	
	Examiner Nimesh G. Patel	Art Unit 2112	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 14 November 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 February 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
3. Claims 1, 3, 5-10, 12-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harris et al.(2002/0081873), in view of Talati('402).
4. Regarding claim 1, Harris discloses a USB system for data communication between a processor and IDE devices, comprising: an IDE device(Fig 2, 20); a USB-to-IDE bridge(Figure 2, 25), wherein the IDE device is connected to a respective USB-to-IDE bridge; and a USB controller(It is inherent the host has a USB controller in a USB system), wherein the USB-to-IDE bridge is connected to the USB controller via a USB bus, each USB-to-IDE bridge providing protocol conversion for communication between the corresponding IDE device and the

USB controller, whereby the processor can communicate with the IDE devices via the USB controller(Paragraph 9).

Harris does not specifically disclose a plurality of IDE devices and plurality of USB-to-IDE bridges, wherein each IDE device is connected to a respective USB-to-IDE bridge. However, Talati discloses plurality of bridges to connect plurality of IDE devices(Figure 1). Therefore, it would have been obvious to use plurality of IDE-to-USB bridges connected to a respective IDE device since this would enable multiple IDE devices to be connected to the host using the USB interface and increase storage capacity. Further, it has been held that mere duplication of the essential working parts of said USB-to-IDE bridge, which is coupled to an IDE device, involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8.

5. Regarding claim 3, Harris and Talati disclose a system, further comprising one or more USB hubs(Talati; Figure 1, 102, 104), each USB hub connected between two or more USB-to-IDE bridges and a USB controller(It is inherent in USB system that USB controller is in the Host).

6. Regarding claim 5, Harris discloses a system, wherein one or more IDE devices can be connected/disconnected to/from the system while the system is operating(Paragraph 25).

7. Regarding claim 6, Harris and Talati disclose a system, wherein at least a third IDE device coupled to a corresponding USB-to-IDE bridge can be connected to the USB controller while the system is operating(Paragraph 25).

8. Regarding claim 7, Harris and Talati disclose a system, further comprising at least one USB hub(Talati; Figure 1, 102, 104) connected between a number of the USB-to-IDE bridges and the USB controller(It is inherent in USB system that USB controller is in the Host), whereby the processor can communicate with the IDE devices via the USB controller and the USB hub.

9. Regarding claim 8, Harris and Talati disclose a system, wherein one or more IDE devices can be disconnected from the system while the system is operating(Paragraph 25).

Art Unit: 2112

10. Regarding claim 9, Harris and Talati disclose a system, wherein at least one additional IDE device coupled to a corresponding USB-to-IDE bridge can be connected to the USB hub while the system is operating(Paragraph 25).

11. Regarding claim 10, Harris discloses a method for connecting a IDE device(Fig 2, 20) to a processor for data communication, comprising the steps of: providing a USB-to-IDE bridge(Figure 2, 25); connecting the IDE device to the USB-to-IDE bridge; providing a USB controller(It is inherent the host has a USB controller in a USB system); and connecting the USB-to-IDE bridge to the USB controller via a USB bus, each USB-to-IDE bridge providing protocol conversion for communication between the corresponding IDE device and the USB controller, whereby the processor can communicate with the IDE devices via the USB controller(Paragraph 9.

Harris does not specifically disclose a plurality of IDE devices and plurality of USB-to-IDE bridges, wherein each IDE device is connected to a respective USB-to-IDE bridge. However, Talati discloses plurality of bridges to connect plurality of IDE devices(Figure 1). Therefore, it would have been obvious to use plurality of IDE-to-USB bridges connected to a respective IDE device since this would enable multiple IDE devices to be connected to the host using the USB interface and increase storage capacity. Further, it has been held that mere duplication of the essential working parts of said USB-to-IDE bridge, which is coupled to an IDE device, involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8.

12. Regarding claim 12, Harris and Talati disclose a method, further comprising the steps of hot plugging/unplugging one or more IDE devices to/from the USB-to-IDE bridges(Paragraph 25).

Art Unit: 2112

13. Regarding claim 13, Harris and Talati disclose a method, wherein one or more IDE devices can be connected/disconnected to/from the system while the system is operating(Paragraph 25).

14. Regarding claim 14, Harris and Talati disclose a method, wherein at least a third IDE device coupled to a corresponding USB-to-IDE bridge can be connected/disconnected to/from the USB controller while the system is operating(Paragraph 25).

15. Regarding claim 15, Harris and Talati disclose a method, further comprising the steps of providing at least one USB hub(Talati; Figure 1, 102, 104); connecting each hub to a USB controller(It is inherent in USB system that USB controller is in the Host); and connecting two or more USB-to-IDE bridges to each hub, such that each hub is connected between a USB controller and two or more USB-to-IDE bridges.

16. Regarding claim 16, Harris and Talati disclose a method, further comprising the steps of disconnecting one or more of the IDE devices from the system while the system is operating(Paragraph 25).

17. Regarding claim 17, Harris and Talati disclose a method, further comprising the steps of connecting at least one additional IDE device coupled to a corresponding USB-to-IDE bridge, to one of the hubs while the system is operating(Paragraph 25).

18. Regarding claim 18, Harris discloses a data storage system, comprising: an IDE device(Fig 2, 20); a USB-to-IDE bridge(Figure 2, 25), wherein the IDE device is connected to a respective USB-to-IDE bridge; and a USB controller(It is inherent the host has a USB controller in a USB system), wherein the USB-to-IDE bridge is connected to the USB controller via a USB bus, each USB-to-IDE bridge providing protocol conversion for communication between the corresponding IDE device and the USB controller, whereby the processor can communicate with the IDE devices via the USB controller(Paragraph 9).

Harris does not specifically disclose a plurality of IDE devices and plurality of USB-to-IDE bridges, wherein each IDE device is connected to a respective USB-to-IDE bridge. However, Talati discloses plurality of bridges to connect plurality of IDE devices(Figure 1). Therefore, it would have been obvious to use plurality of IDE-to-USB bridges connected to a respective IDE device since this would enable multiple IDE devices to be connected to the host using the USB interface and increase storage capacity. Further, it has been held that mere duplication of the essential working parts of said USB-to-IDE bridge, which is coupled to an IDE device, involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8.

19. Regarding claim 19, Harris discloses a data storage system, further comprising a carrier for each IDE data storage device, such that each IDE disk drive and corresponding USB-to-IDE bridge are stored in the respective carrier(Figure 3).

20. Regarding claim 20, Harris and Talati disclose a system, wherein one or more IDE devices can be disconnected from the system while the system is operating(Paragraph 25).

21. Regarding claim 21, Harris and Talati disclose a system, wherein at least a third IDE device coupled to a corresponding USB-to-IDE bridge can be connected to the USB hub while the system is operating(Paragraph 25).

22. Regarding claim 22, Regarding claim 7, Harris and Talati disclose a system, further comprising at least one USB hub(Talati; Figure 1, 102, 104) connected between a number of the USB-to-IDE bridges and the USB controller(It is inherent in USB system that USB controller is in the Host), whereby the processor can communicate with the IDE devices via the USB controller and the USB hub.

23. Regarding claim 23, Harris and Talati disclose a system, further comprising one or more USB hubs(Talati; Figure 1, 102, 104), each USB hub connected between two or more USB-to-IDE bridges and a USB controller(It is inherent in USB system that USB controller is in the Host).

Art Unit: 2112

24. Regarding claim 24, Harris and Talati disclose a system, wherein one or more IDE storage devices can be disconnected from the system while the system is operating(Paragraph 25).

25. Regarding claim 25, Harris and Talati disclose a system, wherein at least one additional IDE storage device coupled to a corresponding USB-to-IDE bridge can be connected to one of the USB hubs while the system is operating(Paragraph 25).

26. Regarding claim 26, Harris and Talati disclose a system, wherein at least one additional IDE device coupled to a corresponding USB-to-IDE bridge and associated hub can be connected to the USB hub while the system is operating(Paragraph 25).

27. Regarding claim 27, Harris and Talati disclose a system, wherein at least one IDE device coupled to a corresponding USB-to-IDE bridge and associated hub can be disconnected from the USB controller while the system is operating(Paragraph 25).

28. Claims 2 and 4, 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harris, in view of Talati('402), and in further view of Abramson et al.('135).

29. Regarding claim 2, Harris and Talati do not specifically disclose the USB controller is connected to the processor via a PCI bus. However, Abramson discloses the USB controller connected a processor via PCI bus(Figure 1). Therefore it would have been obvious to one of ordinary skill in the art to use a PCI bus to connect the USB controller to the processor since PCI bus is the most common bus used in modern day computer.

30. Regarding claim 4, Harris discloses one or more USB-to-IDE bridges are connected to each USB controller via a USB bus, each USB-to-IDE bridge providing protocol conversion for communication between the corresponding IDE device and that USB controller, whereby the processor can communicate with the IDE devices via the USB controllers(Paragraph 9).

Art Unit: 2112

Harris and Talati do not specifically disclose a plurality of USB controllers connected to the processor. However Abraham discloses a plurality of USB controllers connected to the processor(Figure 1). Therefore it would have been obvious use a plurality of USB controllers in the system of Harris and Talati since this would improve bandwidth(Column 1, Lines 46-50).

31. Regarding claim 11, Harris and Talati do not specifically disclose the USB controller is connected to the processor via a PCI bus. However, Abramson discloses the USB controller connected a processor via PCI bus(Figure 1). Therefore it would have been obvious to one of ordinary skill in the art to use a PCI bus to connect the USB controller to the processor since PCI bus is the most common bus used in modern day computer.

Response to Arguments

32. Applicant's arguments filed November 14, 2005 have been fully considered but they are not persuasive.

33. In response to applicant's argument that a Harris does not show a USB controller as claimed, Examiner respectfully disagrees. Harris discloses a host and USB signals being sent to the host from the IDE device(Paragraph 9). It is inherent in a USB system that a host has a USB controller. As further evidence, USB Specification 2.0 discloses that a host communicates with the USB devices through the Host Controller, i.e. USB controller(Section 4.1.1.1, page 16; Section 4.9, Page 24).

34. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on

Art Unit: 2112

combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

35. In response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves *or in the knowledge generally available to one of ordinary skill in the art* (emphasis added). See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, the motivation to combine Harris with Talati is to increase storage capacity by using multiple IDE devices. Harris discloses an USB-to-IDE bridge connected to only one IDE device (Figure 2). Talati discloses a plurality of bridges (Figure 1). Using the teachings of Harris and Talati, it would have been obvious to one of ordinary skill in the art to produce a USB system with a IDE device connected to a respective USB-to-IDE bridge device as disclosed by Harris in a configuration using a plurality of bridges, as disclosed by Talati, since this would enable multiple IDE devices connected to the host using the USB interface and increase storage capacity.

36. In response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the

Art Unit: 2112

applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971).

37. In response to applicant's argument that Harris and Talati do not disclose one or more USB hubs, Examiner respectfully disagrees. One cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Harris discloses a USB system with a USB-to-IDE bridge connected to a an IDE device. Talati discloses multiple bridges connected to one or more hubs(Figure 1). Therefore the combination of Harris and Talati would be a USB system with multiple USB-to-IDE bridges connected to a USB hub since it is a USB system sending USB signals from the bridge to the host.

38. In response to applicant's argument that Harris does not disclose that one or more IDE devices that can be connected/disconnected to/from the system while the system is operating, Examiner respectfully disagrees. Connecting/disconnecting devices to/from the system while the system is operating, also know as hot-plugging, is an inherent property of USB devices in a USB system. As further evidence, USB Specification 2.0 discloses devices connecting/disconnecting to/from the system while the system is operating(Chapter 4, Architectural overview, page 15).

39. In response to applicant's argument that Harris does not disclose a carrier for each IDE storage device such that each IDE disk drive and corresponding USB-to-IDE bridge are stored in the respective carrier, Examiner respectfully disagrees. Harris does disclose a carrier for each IDE storage device such that each IDE disk drive and corresponding USB-to-IDE bridge are stored in the respective carrier(Figure 3). The Mass storage Device Motherboard 20a includes the

Art Unit: 2112

IDE disk drive and disk drive electronics(Paragraphs 3-4; particularly, motherboard 20 of a mass storage device and mass-storage configurations that either have an adapter board or main board integration).

40. In response to applicant's argument that Abramson does not disclose all the limitations of claim 2, Examiner respectfully disagrees. Abramson does disclose a USB controller(Figure 1, 150) connected to the processor(Figure 1, 105) via a PCI bus(Figure 1, 130).

41. In response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988)and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, the motivation to combine Harris and Talati with Abramson with Talati is to improve bandwidth for data.

42. In response to applicant's argument that Abramson does not disclose a plurality of USB controllers, Examiner respectfully disagrees. Abramson does disclose a plurality of USB controllers(Figure 1, 150, 155).

Conclusion

43. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Art Unit: 2112

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nimesh G. Patel whose telephone number is 571-272-3640. The examiner can normally be reached on M-F, 8:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rehana Perveen can be reached on 571-272-3676. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.


Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Nimesh G Patel
Examiner
Art Unit 2112

Application/Control Number: 10/075,121
Art Unit: 2112

Page 13

NP
January 17, 2006



REHANA PERVEEN
SUPERVISORY PATENT EXAMINER
1/23/06